



RISK ADJUSTMENT OVERVIEW DOCUMENTATION BEST PRACTICES

Risk Adjustment Provider Education team

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CareSource Mission, Vision, Disclaimer

CareSource Mission:

To make a lasting difference in our members lives by improving their health and wellbeing

CareSource Vision:

Transforming lives through innovative health and life services



Disclaimer: It is important that each provider's clinical documentation and related diagnosis coding is complete and accurately reflects the current health status and conditions of our members. This educational document is being provided to support the documentation of such complete and accurate health information. Please also refer to the ICD-10-CM coding guidelines, CMS's guidance for the relevant program, and American Hospital Association Coding Clinic. This document is intended for informational and educational purposes and is not intended to provide clinical advice or clinical recommendations or to substitute for a provider's clinical judgement.

Presentation: Name of Presentation Goes Here | Date: MM.DD.YY | Confidential & Proprietar

Agenda

- 1. Government Oversight
- 2. Overview of Risk Adjustment
- 3. Documentation Best Practices
- 4. Common Documentation Errors



Government Oversight

- The U.S. Department of Justice and The Department of Health & Human Services regularly focus on investigations of alleged fraud, waste, and abuse.
- These investigations often evaluate the accuracy of diagnoses submitted, among other compliance concerns
- Detailed documentation + accurate diagnosis codes on claims/encounters is important for confirming appropriate payments
- Failing to document comprehensively can cause recurring diagnosis inaccuracies which can result, in some cases, in administrative sanctions and penalties





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Risk Adjustment Overview

Risk adjustment is a methodology that uses both demographics and diagnosis codes that equates the health status of a person to a number, called a risk score, to predict healthcare needs.

Risk adjustment models improve patient care and provide a better picture about patient populations

Risk adjustment assists in the forecasting of future medical needs for the upcoming year

The mix of both healthy and sicker patients, and the cost-sharing of expenses spread across all members, is designed to provide access to quality healthcare regardless of health status and history

Risk Adjustment assists in closing care gaps and healthcare quality improvements CMS developed HCCs
(Hierarchical Condition
Categories) which are sets of
medical codes linked to specific
clinical diagnoses to provide
data and predictions on disease
burden and demographics

The Differences Between CMS-HCC / HHS-HCC / MEDICAID-Rx

смѕ-нсс	ннѕ-нсс	MEDICAID-CDPS-Rx
Primarily used for Medicare Advantage (Part C)	Primary use is commercial payer managed care plans (Health Exchange plans under the Affordable Care Act)	Primary used for Medicaid Risk Adjustment
Intended for patients over 65 and/or disabled patients of all ages	Intended for patients of all ages	Intended for qualified low-income families and children, pregnant women, the elderly, and people with disabilities
Risk-adjusted factors include age, gender, demographics, medical conditions, and institutional status	Risk-adjusted factors include age, gender, demographics, medical conditions, and financial status	Risk adjustment identifies the demographics of an enrollee and uses different values of risk score calculation for disabled individuals, adults, and children
Predicts future medical spending	Predicts future medical and drug spending	Predicts future medical spending
Prospective: Uses diagnostic information from a base year to predict costs for the following year	Concurrent: Uses data from the current benefit year to predict costs for that same year	Concurrent: The current year's diagnoses affect the current year's risk score
Includes a special needs plan for individuals with severe or disabling chronic conditions	Includes categories for infants, children and adults, and includes obstetrical diagnoses	Includes qualified low-income families and children, pregnant women, the elderly, and people with disabilities
Data for the CMS-HCC model is submitted via claims data and supplemental reviews to EDS server.	Data for the HHS-HCC model is submitted to the Edge server via claims and supplemental data.	Data for Medicaid is only captured from claims, we rely on provider documentation and accurate claims to count for risk adjustment.

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Where does Risk Adjustment data come from?



There are three steps involved in capturing and reporting HCCs:

- · Validation of medical record eligibility
 - A face-to-face encounter with a risk adjustment eligible provider (inpatient or outpatient).
 - · Must include patient identifiers.
 - · Must be signed by rendering provider within 180 days of encounter.
- Assignment of appropriate ICD-10-CM codes
 - Diagnoses must be documented with current assessment/treatment plan and must impact the care and management of the patient during that encounter.
- · Submission of ICD-10-CM codes to CMS or HHS for reporting
 - Diagnoses must be submitted at least annually.

Documentation

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Compliant Documentation

Encounter must have authenticated provider's signature, credential and date. Notes should be signed within 180 days of the date of service to be valid.

Per CMS guidelines all chronic, lifelong, and status conditions must be documented every time it affects the care and management of your patient

Telehealth by audio AND video qualifies – the use of audio and video components should be clearly documented in the progress note

Lifelong, Chronic and Status Conditions

To ensure that a patient's conditions are properly managed, it is best practice to document all conditions that coexist at the time of the encounter and affect the care and management of the patient.

Some conditions are chronic and lifelong in nature and may be relevant for ongoing care.

Here are a few examples:

- > Diabetes Mellitus
- > Sickle Cell Anemia
- > Schizophrenia
- > Multiple Sclerosis
- Cystic Fibrosis
- > Transplants
- > Amputation Status, upper limb or lower limb
- Cancer
- ➤ COPD
- > Heart Failure



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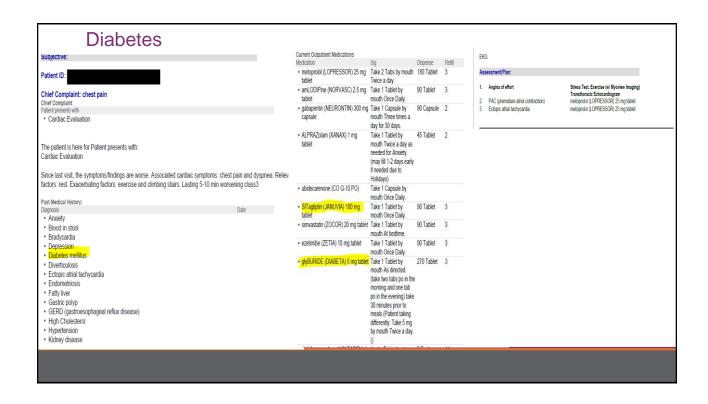
Common Documentation Errors

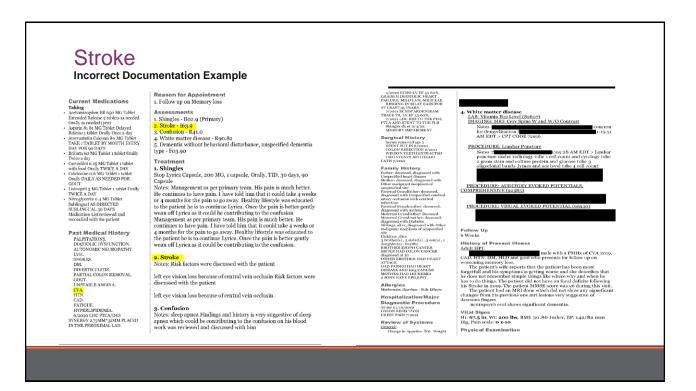
On the following slides are examples of these common errors:

- Ø Coding acute conditions in an outpatient setting.
- Accurate documentation of current conditions vs. historical or resolved conditions
- Not ensuring documentation supports the highest specificity of the condition diagnosed.



^{**}This list is not exhaustive**





COPD

Gender: F DOB: MM/DD/1957 History of present illness

Pt is a pleasant 63-year-old female who presents today to discuss the following complaints:

- 1. OSA (obstructive sleep apnea) Poorly controlled, will need to get sleep study done.
- 2. Anxiety Stable, no acute complaints, fully adherent to medication, denies any adverse effects.
- 3. Exertional shortness of breath Pt with SOB at baseline which is more pronounced than is typical when she exerts herself.
- 4. Precordial pain Pt with intermittent precordial pain, asymptomatic presently.

COPD (chronic obstructive pulmonary disease)

Meds

Albuterol 2/5 mg/3 mL (0.083%) nebulizer solution; inhale 3 mL (2.5 mg) by nebulization every 6 hours as needed for wheezing. • Tiotropium-olodaterol (Stiolto Respirnat) 2.5-2.5 mcg/actuation mist; inhale 2 inhalations by mouth daily. • Buspirone (BuSpar) 30 MG tablet; take 1 tablet (30 mg) by mouth 2 times a day.

Assessment & plan

Anxiety: Stable, no acute complaints. Fully adherent to medication. Denies any adverse eff ects. Continue current treatment.

OSA (obstructive sleep apnea): Poorly controlled. Ambulatory referral to Sleep Medicine for sleep study.

Exertional shortness of breath: Pt with SOB at baseline which is more pronounced than is typical when she exerts herself, will order ECHO to r/o

Precordial pain: Pt with intermittent precordial pain, asymptomatic presently, discussed options, pt agrees to contact cardiologist and in the meantime, we will get an ECHO

Cancer DOS 3/1/2021

Reason for Appointment 1. Annual Clinical Breast Exam

History of Present Hiness

General:

presents to the office today, as an Established Patient, for her Annual Clinical Breast Exam. She is accompanied by her Son who acts as her translator, throughout the duration of her visit. She has a history of Node Positive Right Breast Cancer (IDC), diagnosed on 04/17/2014 and treated with Neo-Adjuvant Chemotherapy, a Mastectomy, and Radiation. Seven Lymph Nodes were removed during the original surgery. In 6/2015, a "Right Neck" Lymph Node was biopsied and pathology revealed Metastatic Poorly Differentiated Carcinoma Consistent with a Breast Primary Cancer. She then went on to have a Right Axillary Dissection, on 6/26/2015, with an additional Five Lymph Nodes removed. No additional cancer was detected. Her most recent Left Diagnostic Mammogram was performed at Wellstar on 06/03/2021 and read as BI-RADS. Lestered Fibroglandular Breast Tissue was documented. She admits to a Family History of Breast Cancer, affecting her Mother. Today, she denies any breast lumps, skin or nipple changes, or nipple discharge.

Examination

Examination
General Examination:
Examination chaperone present during exam. General appearance: No acute distress, pleasant. HEENT: unremarkable. Neck, thyroid: supple, nontender, no lymphadenopathy, no thyromegaly. Neurologic exam: alert and oriented x 3, grossly intact. Skin: normal, no rash. Back: normal, no tenderness of spine, no evidence of scollosis. Extremities: normal ROM, no lymphedema. Genitalia: deferred.

Physical Examination

RIGHT BREAST:
POST SURGICAL BREAST s/p mastectomy/with
reconstruction, no mass, no skin lesion, no axillary adenopathy, no

evidence of recurrence

evidence of recurrence. LEFT REAST: FINDING status post reduction, no dominant or suspicious masses, no skin or nipple changes, no nipple discharge, no axilla adenopathy.

EXTREMITIES:
edema Right Upper Extremity Mild Lymphedema Noted.

ASSESSMENT.

I. Personal history of malignant neoplesm of breast – Z85,3

(Primary), 2014

2. Acquired absence of right breast and nipple - Z90.11, 2014

3. Malignant neoplasm of central portion of right female breast -

2. Malignant neoplasm of centrus por son of the C50-111 [20]
4. Secondary and unspecified malignant neoplasm of axilla and upper limb by mpsh nodes - C77.3, 2014
5. Postmastectomy lymphedema syndrome - 197.2, Right Upper Extremity (Stable 2020)

1. Personal history of malignant neoplasm of breast Clinical Notes:

Clinical Notes:

presented to the office, as an Established Patient, for an Annual Clinical Breast Exam.

She is accompanied by her Son who acted as her translator, throughout the duration of her visit.

Clinical Breast Exam was considered to be within normal limits today.

There are no suspicious abnormalities to report.

Stable, Mild Lymphedema was documented. This involves her Arm, Hand, and Fingers.

These results were provided to the patient in office, and she verbalized her understanding.

Kisk of Breast Cancer Recurrence was discussed, and all of the patient's questions were addressed.

We recommended that Ms. Avellaneda return to the office in One Year for her Annual Left Diagnostic Mammogram and Clinical Breast Exam, as she now has insurance.

She agreed to return in One Year.

encouraged to return sooner if she has any new concerns or complaints.

I appreciate the opportunity to be involved in

Myocardial Infarction Incorrect Documentation Example

The primary encounter diagnosis was NSTEMI (non-ST elevated myocardial infarction) (CMS/HCC). Diagnoses of Hypogonadism in male, PAF (paroxysmal atrial fibrillation) (CMS/HCC), Cough variant asthma, and Prostate cancer screening were also pertinent to this visit.

s a 62 y.o. male.

Chief Complaint
Patient presents with
Annual Exam
Pt here for annual physical and med check. Pt does need some refills.

Subjective: 62-year-old male presents to clinic today accompanied by spouse. He has a history of non STEMI infarct paroxyemal atrial fibrillation hyperlipidemia hypertension and hypogenadism: He indicates at 2 weeks ago he had an endocardial ablation procedure performed teaching. She the peals to get him of of amidiatione. Lab work May 4, 2022 includes BUN of 12 creations 1.02. On December 9, certain the control of the con successfully in the past for control of this on a p.r.n. basis

Pertinent items are noted above and in the HPI.
Comprehensive review of systems performed and the remainder of the ROS was negative.

Allergies

Allergen
• Statins-Hmg-Coa Reductase Inhibitors
Muscle aches

Reactions Intolerance / Med Side Effect (See Comments)

BP 124/82 (BP Location: Right arm) | Pulse (!) 49 | Temp 98.3 °F (36.8 °C) (Tympanic) | Ht 5' 9" | Wt 199 lb (90.3 kg) | SpO2 98% | BMI 29.39 kg/m²

Objective: Heart sounds normal no murmurs rubs heard. No JVD nor ankle edema is noted. Lungs are clear to auscultation.

NSTEMI (non-ST elevated myocardial infarction) (CMS/HCC)
- Comprehensive Metabolic Panel, Future

- Lipid Panel; Future

Hypogonadism in male

progonadism in male - testesteror cyplonate (DEPO-TESTOSTERONE) 200 mg/ml. injection; Inject 1 ml. (200 mg total) into the muscle every 14 (fourteen) days. - needle, disp. 2G 2 22 gauge x 1 ** Ndle; 1 each by Misc. (Non-Drug; Combo Route) route As directed. - syringe, disposable, 3 ml. Syrig; 1 each by Misc. (Non-Drug; Combo Route) route As directed. - Office and the state of the syringe of th

CBC and Differential: Future

PAF (paroxysmal atrial fibrillation) (CMS/HCC)

Cough variant asthma

Prostate cancer screening
- PSA Screen; Future

Other orders

albuterol (PROVENTIL HFA; VENTOLIN HFA) 90 mcg/actuation inhaler; Inhale 2 puffs into the lungs every 6 (six) hours as needed.

Plan: Duration today's visit 30 minutes. We have talked at length regarding rient. Duration today 9 visa. 30 minutes. I we have tailed at length regarding management of his AFib and hypogonadism. He will use albuterol on a pr.n. basis. He will of lab work performed consisting of CBC diff comprehensive metabolic panel lipid panel PSA and serum testosterone level. He will follow-up in 6 months time.

Core Principles



Report on a claim form, with proper medical record support, all serious, acute and chronic conditions that are managed and affect treatment which are present on the date of service.

Be specific when reporting these conditions, particularly when there is a manifestation or complication for the condition and include any current assessment and treatment for the condition.

Follow this ICD-10 guidance:

"Code all documented conditions that coexist at the time of the encounter/visit and that require or affect patient care, treatment or management. Do not code conditions that were previously treated and no longer exist. However, history codes may be used as secondary codes if the historical condition or family history has an impact on current care or influences treatment"



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Presented by:

Dana Heckart, CPC, CRC

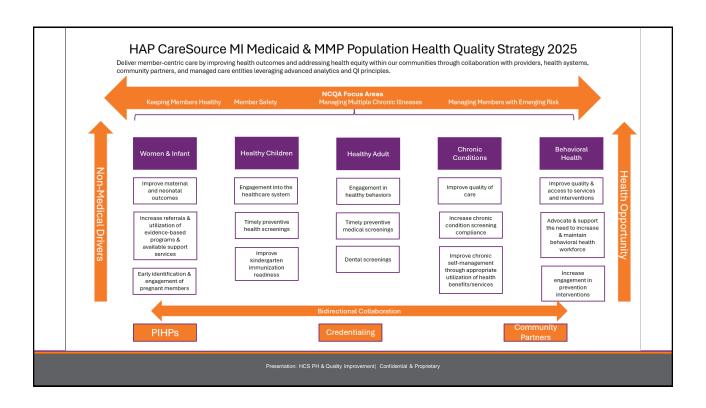
Senior Manager, Quality Risk Adjustment Programs

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POPULATION HEALTH & QUALITY IMPROVEMENT

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HAP CareSource Population Health Management Approach



Alignment to MDHHS Population Health & Quality Improvement Strategy

- Population health management leveraging QI science to test new initiatives & document learnings
- Using a data-driven approach to Population Health to target areas of high need
- Collect information related to and mitigation of Non-Medical Social Drivers of Health

Health Equity

Up and coming in 2025...

- · Ongoing commitment to addressing disparities
- · Collaborating with the Population Health and Quality Improvement teams to pinpoint key areas for intervention
- Emphasizing STI/HIV prevention and treatment through education for both providers and members
- · Screening for health-related social needs and improving closed-loop referral tracking
- · Dedication to preventing risk factors associated with low birth weight

For more Information, Contact Kristi MacDermaid, Health Equity Manager

Health Equity and Cultural Competency CME Opportunities

HAP CareSource partnered with CME Outfitters to create OnDemand CME education on cultural humility, health equity, trauma and gender informed care, and vaccine equity.

CMEs available for physicians, nurses, pharmacists and pharmacy techs, optometrists, dentists, PAs, psychologists, social workers, and dieticians.

HAP CareSource Health Equity

We can provide HEDIS metrics with a disparity lens. For more information, contact Richard Meyer – Director of Population Health - Richard.Meyer@CareSource.com

Get Rewarded for Your Commitment to Health Equity



Earn continuing education credits when you participate in the **free** activities made available to HAP CareSource and HAP CareSource MI Health Link providers through HAP CareSource's and HAP CareSource MI Health Link's partnership with CME Outfitters.

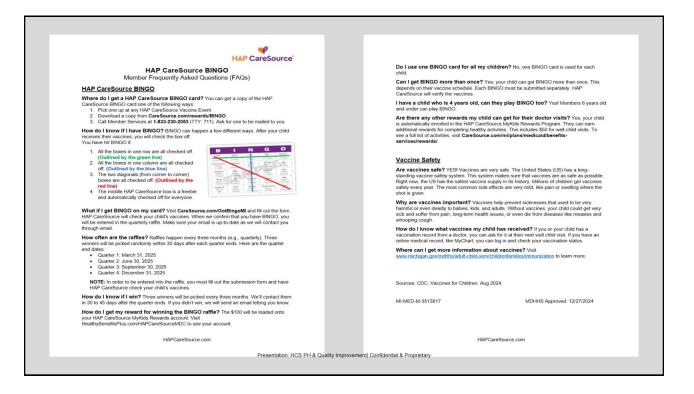
Visit the Diversity and Inclusion Hub to begin taking actionable steps toward mitigating

racial health care disparities and earn 10+ hours of free continuing medical education (CME)/continuing education (CE) credit!

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Medicaid Member Rewards Program

HAP CARESOURCE MYKIDS

HAP CARESOURCE MYHEALTH

Well Baby Visits 1-6
Well Child Visit 1-2
Well Child & Adolescent Visit
Cervical Cancer Screening
Vaccinations
Chlamydia Screening
A1C

Breast Cancer Screening Cervical Cancer Screening Chlamydia Screening Diabetes Screening Prenatal/Postpartum Visits Annual Dental Visit

And Many More!

Rewards | Michigan – Medicaid | CareSource

*Rewards are subject to change

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MMP and Marketplace Member Rewards Program

MY HAP CARESOURCE REWARDS MI HEALTH LINK

Auto enrolled in rewards program
Breast Cancer Screening
Colorectal Screening
Wellness Visit
Flu Vaccine
A1C Test
Routine Dental Exam
My HAP CareSource Rewards | Michigan – MI
Health Link | CareSource

HAP CARESOURCE MYHEALTH MARKETPLACE

Auto enrolled in rewards program
Diabetic Screening
Breast Cancer Screening
Colorectal Screening
Chlamydia Screening
A1C Test
Kidney Health Evaluation
Rewards | Michigan – Marketplace |
CareSource

*Rewards are subject to change

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HAP CareSource Transportation Benefits

As a HAP CareSource member, you have free rides to and from medical appointments. This includes:

- · Community events
- COVID-19 vaccine
- · CPR classes
- Doctor
- Dentist
- · Durable medical equipment (DME) pick-up

- Eye doctor
- Flu shot
- Hearing
- Pharmacy
- · Religious events

Transportation is not allowed for personal use (i.e. hair appointments, picking up children from daycare). Member can call <u>1-833-230-2053</u> (TTY: 711), 24 hours a day, 7 days a week, to schedule a ride or request mileage reimbursement.

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Presented by:

What are some learnings and observations from your work so far in 2025

- Do you use QI science to monitor develop interventions and evaluate the effectiveness of your work?
- · Is there support from the QI team that you are in need of?

Have you developed a population health/quality improvement strategy

- · What are areas of concern for your health system/providers?
- Are there any barriers to care that you want to share?
- · What is one health equity concern you are trying to address?

Transportation, Appalachian communities

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Presented by:

Barbara McIntyre, RMA, BBA Quality Improvement Specialist

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